

U.S. DEPARTMENT OF COMMERCE; PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

33216M052

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if  
known, see 37 CFR 1.5)

09/622406

INTERNATIONAL APPLICATION NO.

PCT/JP99/07086

INTERNATIONAL FILING DATE

17 DECEMBER 1999

PRIORITY DATE CLAIMED

23 DECEMBER 1998

TITLE OF INVENTION

AUDIO VISUAL DATA RECORDING APPARATUS, AUDIO VISUAL DATA REPRODUCING  
APPARATUS, AUDIO VISUAL DATA RECORDING AND REPRODUCING APPARATUS, AUDIO  
VISUAL DATA RECORDING METHOD, AUDIO VISUAL DATA REPRODUCING METHOD, AND  
PROGRAM RECORDING MEDIUM

APPLICANT(S) FOR DO/EO/US

Yasushi AYAKI et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau (see accompanying PCT Form 308).
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) with attached referenced PCT application.
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
  - ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. Copy of Form PCT/RO/101;
  - b. Copy of Form PCT/IB/301;
  - c. Copy of Form PCT/IB/304;
  - d. Copy of Form PCT/ISA/202; and
  - e. Copy of cover page of WO 00/39799.

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U.S. APPLICATION NO. (if known,  
see 37 CFR 1.51)

09/622406

17. ☒ The following fees are submitted:

CALCULATIONS PTO USE ONLY

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ..... \$840.00

International preliminary examination fee paid to USPTO  
(37 CFR 1.482) ..... \$670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international  
search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$690.00

Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 970.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from  
the earliest claimed priority date (37 CFR 1.495(e)).

\$---

Claims	Number Filed	Number Extra	Rate		
Total Claims	64 -20 =	44	x \$18.00	792.00	
Independent Claims	6 -3 =	3	x \$78.00	234.00	
Multiple dependent claim(s) (if applicable)			+ \$260.00	260.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 2,126.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				---	
SUBTOTAL =				\$ 2,126.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	---
TOTAL NATIONAL FEE =				2,126.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				+	40.00
TOTAL FEES ENCLOSED =				2,166.00	
				Amount to be refunded	\$
				charged	\$

a. ☒ A check in the amount of \$ 2,166.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. 02-4300.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))  
must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Michael A. Makuch,  
NAME

32,263  
REGISTRATION NO.

Date: August 17, 2000